

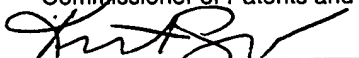
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Kristianne Serrano

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re the application of:** Short et al.

**Examiner:** Unknown

**Serial No.:** 10/649,008

**Art Unit:** 2171

**Filed:** 08/26/2003

**Title:** RELATING MEDIA TO INFORMATION IN A WORKFLOW SYSTEMS

August 10, 2004

TRANSMITTAL FORM

Commissioner of Patents  
Mail Stop IDS  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

The following documents are being transmitted:

- Information Disclosure Statement, 1449 form, and cited references;
- IDS Certification; and
- Return Postcard

Applicant believes that there is no fee due with this submission. However, the Commissioner is authorized to charge any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. SFTO00001). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

  
Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:** Short et al.

**Docket No.:** SFTO0001

**Serial No. :** 10/649,008

**Art Unit:** 2171

**Filed:** 08/26/2003

**Examiner:** Unknown

**Title:** RELATING MEDIA TO INFORMATION IN A WORKFLOW SYSTEM

Assistant Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or  
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
  - ☒ Certification under 37 CFR 1.97(e), or
  - ☐ a \$220.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
  - ☐ Certification under 37 CFR 1.97(e), and
  - ☐ a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
  - ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☒ The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. SFTO0001). A copy of this sheet is enclosed for accounting purposes.

(X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



Michael Glenn  
Attorney For Applicant  
Reg. No. 30,176

Customer No. 22862



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:** Short et al.

**Docket No.:** SFTO0001

**Serial No. :** 10/649,008

**Art Unit:** 2171

**Filed:** August 26, 2003

**Examiner:** Unknown

**Title:** RELATING MEDIA TO INFORMATION IN A WORKFLOW SYSTEM

**CERTIFICATION FOR  
INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.97(e)**

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

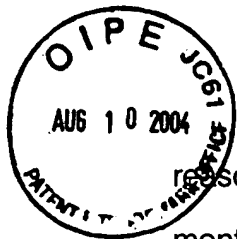
A certification is being made for the Information Disclosure Statement accompanying this certification.

**CERTIFICATION**

I, the person signing below, certify

(x) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. (37 CFR 1.97(e)(1))

(x) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making



reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this certification is the attorney who signs below on the basis of the information:

- ☐ supplied by the inventor(s)
- ☐ supplied by an individual designated in §1.56(c)
- ☒ in the attorney's file

Respectfully submitted,

Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862



Attorney Docket No. SFTO0001

Form 1449 (Modified)	Atty. Docket No. SFTO0001	Serial No.: 10/649,008
<b>Information Disclosure Statement By Applicant</b>	Applicant: Short, et al.	Group: 2171
(Use Several Sheets if Necessary)	Filing Date: 8/26/03	Unassigned
	Herewith	

#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
		5,878,386	03/02/1999	Coughlin	704	10	06/28/1996
		5,970,170	10/19/1999	Kadashevich et al.	382	187	06/07/1995
		6,026,388	02/15/2000	Liddy et al.	701	1	08/14/1996

#### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.